

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In Re Patent Application of : Confirmation No.: 7123  
Mallozzi, James J. : Group Art Unit: 1792  
Serial No. 10/701,945 : Atty. Dkt: Hartford-13B  
Filing Date: 11/04/2003 : Examiner: Campen, Kelly Scaggs  
For: FINANCIAL SYSTEM THAT PROVIDES INVESTMENT FUNDS AND A  
DEATH BENEFIT

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT UNDER RULE 312**

Dear Sir:

An Amendment under Rule 312 is being submitted after Allowance and prior to payment of the Issue Fee to transmit the formal drawings and correct the listing of claims allowed for the above-identified application.

Should there be any fees due and owing with respect to this Amendment, the Examiner is authorized to charge such fees to deposit account no. 50-3208.

**Amendments to the Drawings** begin on page 2 of this paper.

**Remarks/Arguments** begin on page 3 of this paper.